

NATIONAL TREASURY UNIT																																																																																																																		
MONTHLY REPORT - FINANCE MANAGEMENT GRANT (FMG) - DIVISION OF REVENUE ACT (DRA)																																																																																																																		
Note - Must be filed to 012-315 5200 001 800 5417 & e-mailed to fmgr@treasury.gov.za. The municipality is required to perform report by calling 012 315 5200 0008. Note - Fields highlighted in yellow should be completed. Other fields are automated and need not be completed. The Municipality is required to provide comments and supporting documentation where necessary.																																																																																																																		
Name of Municipality: DOT Pholeka Local Municipality Financial Year: 2021/22 Month: 10/10/2021																																																																																																																		
Section A: Previous Financial Year Financial Management Grant Received and Expenditure Incurred: 2020/21 Total FMG received: 1 500 000.00 Total FMG Expenditure: 1 500 000.00 FMG Unspent: 0.00 <i>Note - If funds committed, follow process for rollover of funds. Please note that this should not be a negative amount.</i> FMG Unspent and returned to the National Revenue Fund: 0.00 <i>Note - This should only be unspent FMG funds returned to the National Revenue Fund or taken off explicable share.</i> Total FMG Unspent at end of financial year: 0.00 <i>Note - This should be funds that are approved by NT as rollover.</i>																																																																																																																		
Section B: Current Financial Year Financial Management Grant Received and Expenditure Incurred: 2021/22 Total FMG received for current financial year: 1 500 000.00 Total unspent FMG Approved for rollover (Refer to Section A. A19): 0.00 Total FMG received: 1 500 000.00 Total spent year-to-date (See last month return - Section B. A31): 354 238.18 <i>Please note for July's return, this amount would be 0.</i> <table border="1"> <thead> <tr> <th></th> <th>Aggregate spending from previous months</th> <th>Total spending to date</th> <th>Allocation as per support plan</th> <th>Allocation Unspent</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Total spend for this month</td> <td>305 275.08</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Informa Systems Salary and Training</td> <td>49 523.58</td> <td>49 523.58</td> <td>50 000.00</td> <td>50 000.00</td> <td>50 000.00</td> <td></td> </tr> <tr> <td>Training in respect of Minimum Governance Regulations</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Acquisition, Monitoring and Maintenance of Financial Systems and Assets</td> <td>299 527.04</td> <td>299 527.04</td> <td>300 000.00</td> <td>300 000.00</td> <td>300 000.00</td> <td></td> </tr> <tr> <td>Provision and Supply of Materials for Internal Audit Functions</td> <td>61 544.15</td> <td>61 544.15</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Support for the provision of services to address audit findings</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Support for the provision of services to members of the BTO committee</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Address a shortcoming identified in the FMGAM Assessment report</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Support the implementation of the financial management regulation and ensure compliance management</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>To strengthen financial governance and oversight as well as functioning of MFPA</td> <td></td> <td>0.00</td> <td>20 000.00</td> <td>20 000.00</td> <td>20 000.00</td> <td></td> </tr> <tr> <td>Total FMG spent</td> <td>719 519.77</td> <td>0.00</td> <td>360 000.00</td> <td>360 000.00</td> <td>360 000.00</td> <td></td> </tr> <tr> <td>Performance cost</td> <td>43.81</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Total FMG unspent for current financial year</td> <td>530 456.18</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <i>Note - AQNM must return any unspent FMG allocations not approved for rollover to the National Revenue Fund.</i>											Aggregate spending from previous months	Total spending to date	Allocation as per support plan	Allocation Unspent			Total spend for this month	305 275.08						Informa Systems Salary and Training	49 523.58	49 523.58	50 000.00	50 000.00	50 000.00		Training in respect of Minimum Governance Regulations		0.00	20 000.00	20 000.00	20 000.00		Towards strengthening capacity in Budget and Treasury Office (BTO), internal audit and audit committee		0.00	20 000.00	20 000.00	20 000.00		Acquisition, Monitoring and Maintenance of Financial Systems and Assets	299 527.04	299 527.04	300 000.00	300 000.00	300 000.00		Provision and Supply of Materials for Internal Audit Functions	61 544.15	61 544.15	20 000.00	20 000.00	20 000.00		Support for the provision of services to address audit findings		0.00	20 000.00	20 000.00	20 000.00		Support for the provision of services to members of the BTO committee		0.00	20 000.00	20 000.00	20 000.00		Address a shortcoming identified in the FMGAM Assessment report		0.00	20 000.00	20 000.00	20 000.00		Support the implementation of the financial management regulation and ensure compliance management		0.00	20 000.00	20 000.00	20 000.00		To strengthen financial governance and oversight as well as functioning of MFPA		0.00	20 000.00	20 000.00	20 000.00		Total FMG spent	719 519.77	0.00	360 000.00	360 000.00	360 000.00		Performance cost	43.81						Total FMG unspent for current financial year	530 456.18					
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Performance Information: Financial Management Capability Maturity Module (FMGAM) Did the municipality develop an action plan to address the shortcomings identified in the FMGAM and risk assessment report? Yes The FMGAM action plan must be submitted to NT in September and a progress report on implementation of the plan on a quarterly basis thereafter. <table border="1"> <thead> <tr> <th>Performance Information: Internal Audit Units (IA) and Audit Committees (AC)</th> <th>Yes/No</th> <th>Outsourced Co-Sourced In-house</th> <th>No of Resolutions and recommendations</th> <th>Number implemented</th> <th>Number outstanding</th> </tr> </thead> <tbody> <tr> <td>Internal Audit Unit Established</td> <td>Yes</td> <td>In-house</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Audit Committee Established</td> <td>Yes</td> <td>Shared</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Resolutions and recommendations of IA</td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Resolutions and recommendations of AC</td> <td></td> <td></td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>										Performance Information: Internal Audit Units (IA) and Audit Committees (AC)	Yes/No	Outsourced Co-Sourced In-house	No of Resolutions and recommendations	Number implemented	Number outstanding	Internal Audit Unit Established	Yes	In-house				Audit Committee Established	Yes	Shared				Resolutions and recommendations of IA			0	0	0	Resolutions and recommendations of AC			0	0	0																																																																											
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Confirmation & Authorization from the Accounting Officer / Chief Financial Officer or Delegate Name of the Chief Financial Officer: SF JAMES Signature: <i>[Signature]</i> Date: 12.10.2021 Name of the Accounting Officer: PETERSE, R.C. Signature: <i>[Signature]</i> Date: 12.10.2021																																																																																																																		